

MARKET CONSULTATION: SOLACTIVE THEMATIC INDICES INDIAN/CHINESE SECURITIES EXCLUSION

18 July 2024



Content of the Market Consultation

Solactive AG has decided to conduct a Market Consultation with regard to changing the Index Methodology of the following Indices:

NAME	RIC	ISIN
Solactive Robotic and Drones Index	.SOLROBO	DE000SLA0RD1
Solactive Robotics and Drones Index (CHF)	.SOLROBOC	DE000SLA0RC3
Solactive Robotics and Drones Index (USD)	.SOLROBOU	DE000SLA0RU5
Solactive Big Data Index	.SOLDATA	DE000SLA9DB6
Solactive Big Data Index (CHF)	.SOLDATAC	DE000SLA9DC4
Solactive Big Data Index (USD)	.SOLDATAU	DE000SLA9DU6
Solactive FinTech 20 Index (EUR)	.SOLFTECH	DE000SLA0F73
Solactive FinTech 20 Index (CHF)	.SOLFTECC	DE000SLA0F81
Solactive FinTech 20 Index (USD)	.SOLFTECU	DE000SLA0F65
Solactive 3D Printing Index	.SOLDDD	DE000SLA3DP9
Solactive 3D Printing Index (CHF)	.SOLDDDC	DE000SLA3D23
Solactive 3D Printing Index (USD)	.SOLDDDU	DE000SLA3D15
Solactive Value Investoren Index	.SOLVALIN	DE000SLA5VA8

Rationale for the Market Consultation

The respective suite of thematic indices generally covers a broad list of global exchanges from both developed markets as well as emerging markets. It has been brought to our attention recently that there are significant challenges and restrictions regarding investing and trading in the Indian stock market. In addition to the above mentioned challenges, more hurdles are being imposed by the specific reporting requirements brought forth by the Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014. We believe the aforementioned difficulties could impose some obstacles for an accurate replicability of these indices and propose to exclude Indian securities from the indices under discussion and to prevent any Indian securities from becoming members of these indices in the future.

Additionally, Solactive suggests preventing securities listed on any Chinese exchanges to be included in the indices. Given the significant costs associated with investing and trading in the respective venues, we believe the respective methodology change will benefit end investors and improve the efficient replicability of the respective investment strategies.

Proposed Change to the Index Guideline

The following Methodology change is proposed in the following point of the Index Guidelines (ordered in accordance with the numbering of the affected sections):



The proposed change will impact Section 4, "Definitions," of the guidelines for all the indices under discussion, particularly point "(b)" of the definition of the "Selection Pool":

From:

"(b) Listing on a regulated stock exchange in the form of shares tradable for foreign investors without restrictions."

To:

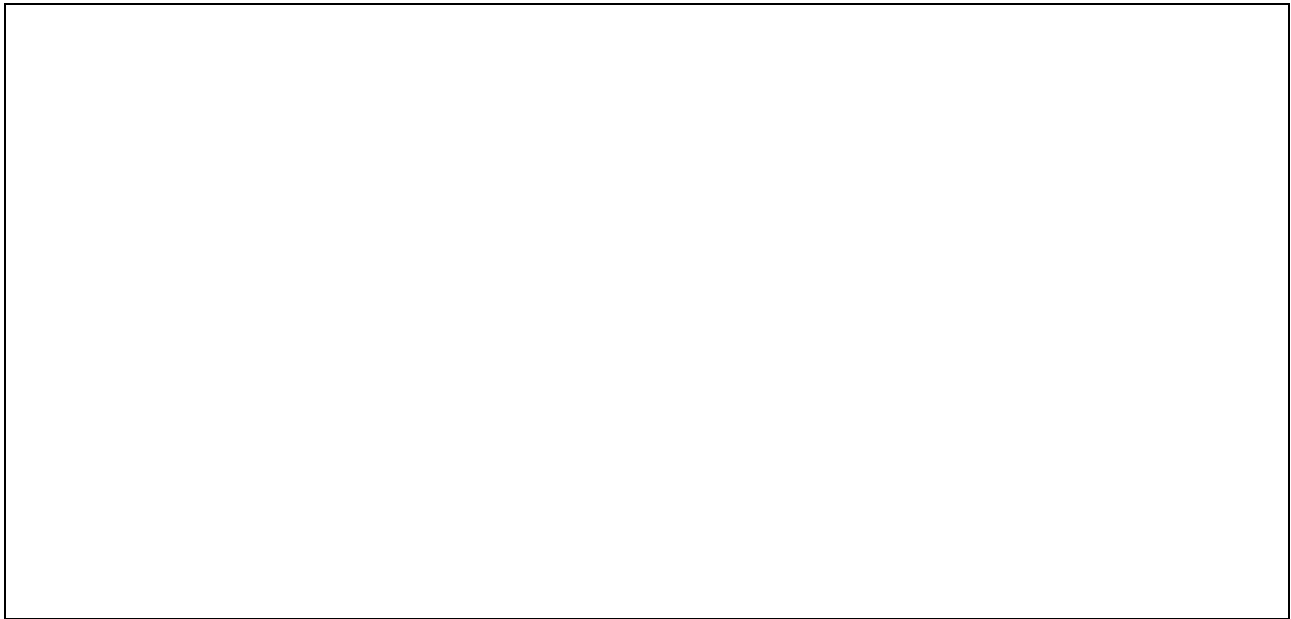
"(b) Primary listing in one of the countries that are part of the Developed Markets and Emerging Markets (excluding India and China) as defined by the Solactive Country Classification (<https://www.solactive.com/documents/>). These criteria with respect to the Index are subject to a regular review by the Index Administrator."

Feedback on the proposed changes

If you would like to share your thoughts with Solactive, please use this consultation form and provide us with your personal details and those of your organization.

Name	
Function	
Organization	
Email	
Phone	
Confidentiality (Y/N)	

Solactive is inviting all stakeholders and interested third parties to evaluate the proposed changes to the Methodology for all indices in discussion and welcomes any feedback on how this may affect and/or improve their use of Solactive indices.



Consultation Procedure

Stakeholders and third parties who are interested in participating in this Market Consultation, are invited to respond until *August 20th, 2024, COB.*

Subject to feedback received on this Market Consultation, the changes mentioned above are intended to become effective on August 30th, 2024

Please send your feedback via email to marketconsultation@solactive.com, specifying "Market Consultation MARKET CONSULTATION SOLACTIVE THEMATIC INDICES INDIAN/CHINESE SECURITIES EXCLUSION" as the subject of the email, or

via postal mail to: Solactive AG
Platz der Einheit 1
60327 Frankfurt am Main
Germany

Should you have any additional questions regarding the consultative question in particular, please do not hesitate to contact us via above email address.

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